



Attorney's Docket No. 080398.P449

2171

#2

Wilanson  
3/18/04

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of: )  
Annie Wang, et al. )  
Application No.: 09/909,575 )  
Filed: July 20, 2001 )  
For: Behavior Profile System )  
and Method )

Examiner: Not Yet Assigned

Art Unit: 2171

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on 3/8/04.

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X 37 C.F.R. §1.97(b).

       37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

       A statement pursuant to 37 C.F.R. §1.97(e) or

       A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

       37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

(1) A statement pursuant to 37 C.F.R. §1.97(e); and

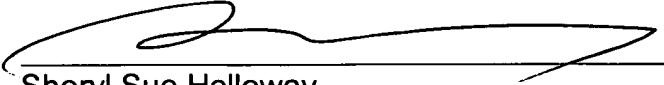
(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No.  
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Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated March 8, 2004

  
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**Summary of USPTO Kind Codes Used on Documents Published Beginning January 2, 2001**

WIPO ST.16 Kind Codes	Kind of document	Comments
A1	Patent Application Publication	Pre-grant publication available March 2001
A2	Patent Application Publication (Republication)	Pre-grant publication available March 2001
A9	Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
B1	Patent	No previously published pre-grant publication
B2	Patent	Having a previously published pre-grant publication and available March 2001
C1, C2, C3...	Reexamination Certificate	Previously used codes B1 and B2 are now used for granted Patents
E	Reissue Patent	No change
H	Statutory Invention Registration (SIR)	No change
P1	Plant Patent Application Publication	Pre-grant publication available March 2001
P2	Plant Patent	No previously published pre-grant publication
P3	Plant Patent	Having a previously published pre-grant publication and available March 2001
P4	Plant Patent Application Publication (Republication)	Pre-grant publication available after March 2001
P9	Plant Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
S	Design Patent	No change

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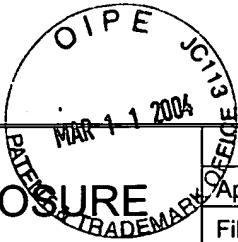
<p>Substitute for Form 1449A/PTO (Modified)</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(use as many sheets as necessary)</i></p> <p style="text-align: center;">(1000)</p>				<b>Complete if Known</b>		
				Application Number	09/909,575	
				Filing Date	07/20/01	
				First Named Inventor:	Wang, A.	
				Group Art Unit	2171	
Examiner Name	Not Yet Assigned					
Sheet	1	of	3	Attorney Docket Number	080398.P449	
<b>U.S. PATENT DOCUMENTS</b>						
Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number known)	Kind Code <sup>2</sup> (If known)			
		5,826,102		Escobar, et al.	10/20/98	
		5,884,056		Steele	3/16/99	
		5,852,435		Vigneaux, et al.	12/22/98	
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		5,625,464		Compoint, et al.	4/29/97	
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		5,619,249		Billock, et al.	4/8/97	
		5,861,906		Dunn, et al.	1/19/99	MAR 15 2004
		6,064,380		Swenson, et al.	5/16/00	Technology Center 21

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

2

of

3

**Complete if Known**

Application Number 09/909,575

**Filing Date** 07/20/01

First Named Inventor: Wang, A

Group Art Unit

Examiner Name | Not Yet Assigned

**Attorney Docket Number**

47 LITERATURE DOCUMENTS

## OTHER ART – NO PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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